

January 30, 2003

Publication 1346 Part I - File Specification Changes #8

The changes are identified by two vertical bars in the right margin (||).
Deletions are identified by a hyphen followed by two vertical bars (-||).

These changes are effective immediately.

Section 1 Data Communications
 Subsection .04 (14) Invalid Transmission Type Code
 Added new invalid transmission type code "N"

ERC 0502 Deleted Form 1099-G from EIN validation process

ERC 0505 Deleted Form 1099-G from EIN validation process

Section 1 - Data Communication

.04 Communication Error Messages (continued)

10. **"INVALID PRODUCTION-TEST CODE - P = PRODUCTION, T = TEST"** -- The FEPS will reject the transmission when Test/Production indicator in column 117 of the TRANA record does not equal 'T' or 'P'.
11. **"PRODUCTION-TEST CODE IN TRANA RECORD DOES NOT MATCH PROFILE"** -- The FEPS will reject the transmission when the Production/Test indicator in column 117 of the TRANA record does not match the production/test mode in the Trading Partner profile.
12. **"INVALID TOTAL RETURN COUNT IN RECAP RECORD"** -- The FEPS will reject the entire transmission and generate this Error ACK message when the number of tax returns counted does not match the Total Return Count in columns 29-34 of the RECAP record.
13. **"INVALID JULIAN DAY IN THE TRANA RECORD"** -- The FEPS will reject the transmission when the Julian day in columns 91-93 of the TRANA record is more than two days prior to the actual receipt Julian day or more than one day after the actual receipt Julian day.
14. **"INVALID TRANSMISSION TYPE CODE"** -- The FEPS will reject the transmission when the Trading Partner's transmission type code specified in column 118 of the TRANA record is not valid. Valid codes must equal one of the following codes:

" "	(blank)	= regular 1040 Electronic Filing
"D"		= ETD
"N"		= ETD Online
"O"		= Online Filing
15. **"INVALID TOTAL FORM COUNT IN RECAP"** -- The FEPS will reject the entire transmission and generate an Error ACK file with the message if the number of ETD forms counted does not match the Total Form Count in columns 29-34 of the RECAP record.
16. **"INVALID FORM FORMAT BEGINNING AT RECORD n"** -- For ETD transmissions, the FEPS will reject the entire transmission when a form does not begin with a FRM record (valid Record ID, Form Number and Page Number fields) or does not end with a summary record. In addition, the form record must contain a numeric TIN that matches the TIN in the summary record.
17. **"INVALID TAX RETURN FORMAT BEGINNING AT RECORD n"** -- The FEPS will reject the entire transmission Error Ack message after the first occurrence of this validation error. For return transmissions, the FEPS will validate that every return begins with a tax return record (valid Record ID, Return type and Page number fields) and ends with a summary record. In addition, the tax return record must contain a numeric TIN that matches the TIN in the summary record. If an error is encountered, no further validation will take place after this first error is encountered.

- 0502 ○ Employer Identification Number (SEQ 0040) of Form W-2 **and/or** |
 W-2GU, Payer Identification Number (SEQ 0026) of Form W-2G, |
 and Payer Identification Number (SEQ 0050) of Form 1099-R must -||
 match data from the IRS Master File.
- 0505 ○ Employer Identification Number (SEQ 0040) of Form W-2 **and/or** |
 W-2GU, or Payer Identification Number (SEQ 0026) of Form W-2G, |
 or Payer Identification Number (SEQ 0050) of Form 1099-R was -||
 issued in the current processing year.